



**Request for Statement of Qualifications
Wolf River Parallel 120 Interceptor Design
Addendum No. 3 to
RFSOQ No. 400591.78.0103
January 14, 2019**



The following information encompasses Addendum No. 3 for the above referenced RFSOQ. Bidders shall fully consider and acknowledge this Addendum in the preparation and submittal of its formal Statement of Qualifications. Failure to do so may result in the rejection of the SOQ.

Section 1 – Update to QUALIFICATION DATA - Tab 2: Profile & Capabilities

All other conditions and requirements remain unchanged.

**Section 1
Update to QUALIFICATION DATA - Tab 2: Profile & Capabilities**

Contractor agrees to conduct its operations to provide maximum safety and shall, while on the premises of Purchaser or Owner or on the Jobsite, comply with the safety programs and regulations of Purchaser and of Owner, if any. ~~Please provide the following information:~~ The following information will be required only during the prequalification process, and should not be submitted with the SOQ.

- Identify if the Firm has a written safety, health and accident prevention policy.
- Identify if the Firm has a full time Safety and Health Manager. If yes, provide resume.
- Identify if the Firm has a documented safety, health, and accident prevention program/system.
- Identify if the Firm's program has a documented safety and health training program.
- Identify if the Firm has a documented drug & alcohol-free workplace program that includes pre-employment testing, post-accident testing, for cause testing, random testing, and if program includes all employees.
- The Firm's present Experience Modification Rate (EMR), expected to be less than 1.0.
- The Firm's EMR rate for the prior two years, expected to be less than 1.0.
- Provide a copy of the last three years OSHA 300 and 300A logs, the injury rate is expected to be 3.5 or less and the number of days away less than 1.2.
- Provide all details of any federal or state plan OSHA citations received in the past 3 5 years.
- Provide all details related to any fatalities occurring in the past 5 years.

QUALIFICATION DATA

The criteria that will be used to rank the firms will be based on a 100-point system and require applicable information as follows:

Tab 1: Cover Letter (no points)

The one-page cover letter should briefly introduce the firm. It should include a concise description of the firm's ability to perform the task(s) outlined in this RFSOQ, and should also state that no conflicts of interest exist for the work to be performed.

Tab 2: Profile & Capabilities (15 points)

Provide the following information for the Firm:

- Number of years in business.
- Corporate headquarters address.
- Address of the local office where work on this project will be performed.
- Copy of Tennessee certifications pertinent to type of work.
- Copy of Tennessee licenses pertinent to the type of work.
- Copy of certification for proper incorporation from Tennessee Secretary of State (State whether firm is a corporation, joint venture, or partnership).
- Total number of employees overall and in the local office.
- Breakdown number of employees by job classification. For example, list number of project managers, superintendents, trades, estimators, field, clerical, etc., overall and in the local office.
- Provide any additional information (3-page limit) you wish B&V/OCI to consider in this proposal.
- Provide the Firm's Dunn & Bradstreet number ~~and the Annual Financial Report for the last two years.~~ **Annual Financial Reports for the last two years will be required only during the prequalification process, and should not be submitted with the SOQ.** Note Black & Veatch will require a D&B rating of a Financial Stress Score and Commercial Credit Class Score both at a 3 or above and 20% of sales must be greater to or equal to the potential contract value. If either of these criteria is not met, further financial review will be required.
- To the extent possible, provide names and addresses of any subcontractors that are contemplated for the project, and the services they will provide.
- Brief description of all litigation and outcome during last three years, as well as pending litigation.
- Name of insurance carrier(s) and bonding companies (including bonding capacity), address, name and telephone number of contact person, and current liability insurance limits.